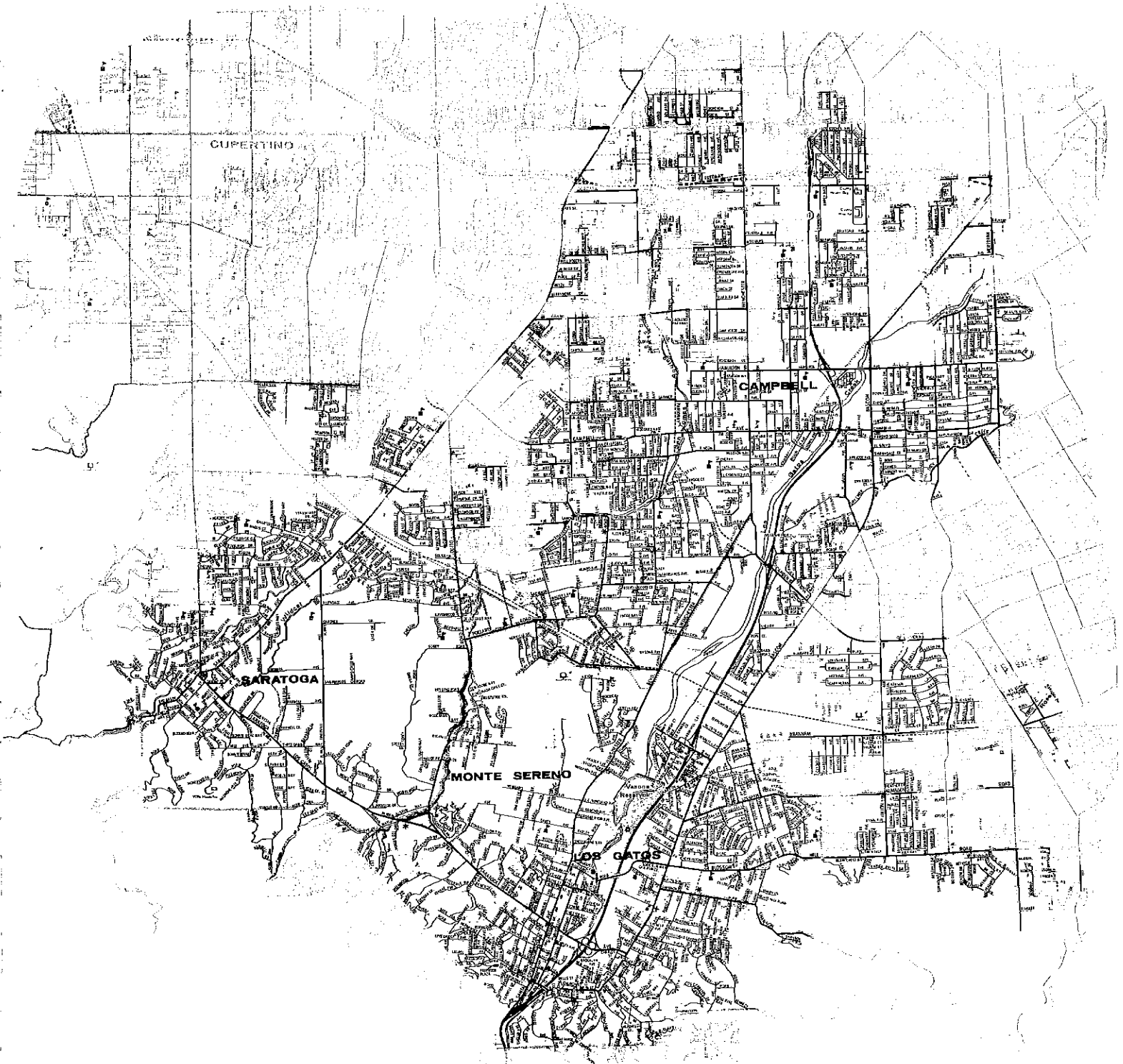


2.1.1964



ANNUAL REPORT

FISCAL YEAR 1963 - 1964

COUNTY SANITATION DISTRICT NO. 4 OF SANTA CLARA COUNTY, CALIFORNIA

COUNTY SANITATION DISTRICT NO. 4
OF SANTA CLARA COUNTY

100 East Sunnyoaks Avenue P.O. Box 368
CAMPBELL, CALIFORNIA, 95008
Telephone 378-2407

October 7, 1964

Honorable Board of Directors
County Sanitation District No. 4
Santa Clara County, California

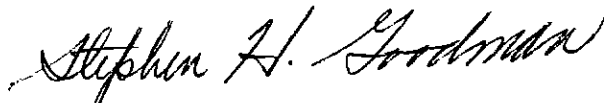
Gentlemen:

The following Annual Report of County Sanitation District No. 4 for fiscal year 1963-64 sets forth the major administrative and financial activities for your use in reviewing the factors and costs involved in providing sanitary sewerage facilities for its area.

The general plan for expansion of the District's sewerage facilities to serve a growing population was completed and a program to implement the plan was started during the past fiscal year.

The District is presently in a sound fiscal position and ready to proceed with an expansion program when approved.

Respectfully yours,



Stephen H. Goodman
Manager and Engineer

ANNUAL REPORT

COUNTY SANITATION DISTRICT NO. 4
OF SANTA CLARA COUNTY, CALIFORNIA

FISCAL YEAR 1963-1964

BOARD OF DIRECTORS

| | |
|---------------------|--|
| Lawrence L. Fargher | Mayor of City of Santa Clara |
| William E. Glennon | Mayor of City of Saratoga |
| Thomas B. Inglis | Mayor of City of Monte Sereno |
| John Lincoln | Mayor of Town of Los Gatos |
| Ralph H. Mehrkens | Supervisor, 4th District, County of Santa Clara |
| Charles Rose | Mayor of City of Campbell |
| Robert I. Welch | Councilman of City of San Jose |

ADMINISTRATIVE OFFICERS

| | |
|--------------------|-------------------------------|
| Stephen H. Goodman | District Manager and Engineer |
| David H. Adams | District Counsel |
| DeLora Spence | District Secretary |
| Thelo A. Perrott | Special Projects Engineer |

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ADMINISTRATIVE REPORT

The District

County Sanitation District No. 4 was incorporated in the year 1949 to provide sanitary sewerage facilities for approximately 24,000 acres within the Santa Clara Valley situated to the Southwest of the City of San Jose.

The area of Sanitation District No. 4 has decreased to approximately 18,910 acres, as a result of disannexation by the City of San Jose, but on the other hand however, the population served by facilities of the District has increased from 9,000, in 1949, to over 74,000 at present.

Area Changes

During the 1963-64 fiscal year Sanitation District No. 4 remained static with respect to area with withdrawals being approximately equal to annexations as set forth in the following Table No. 1.

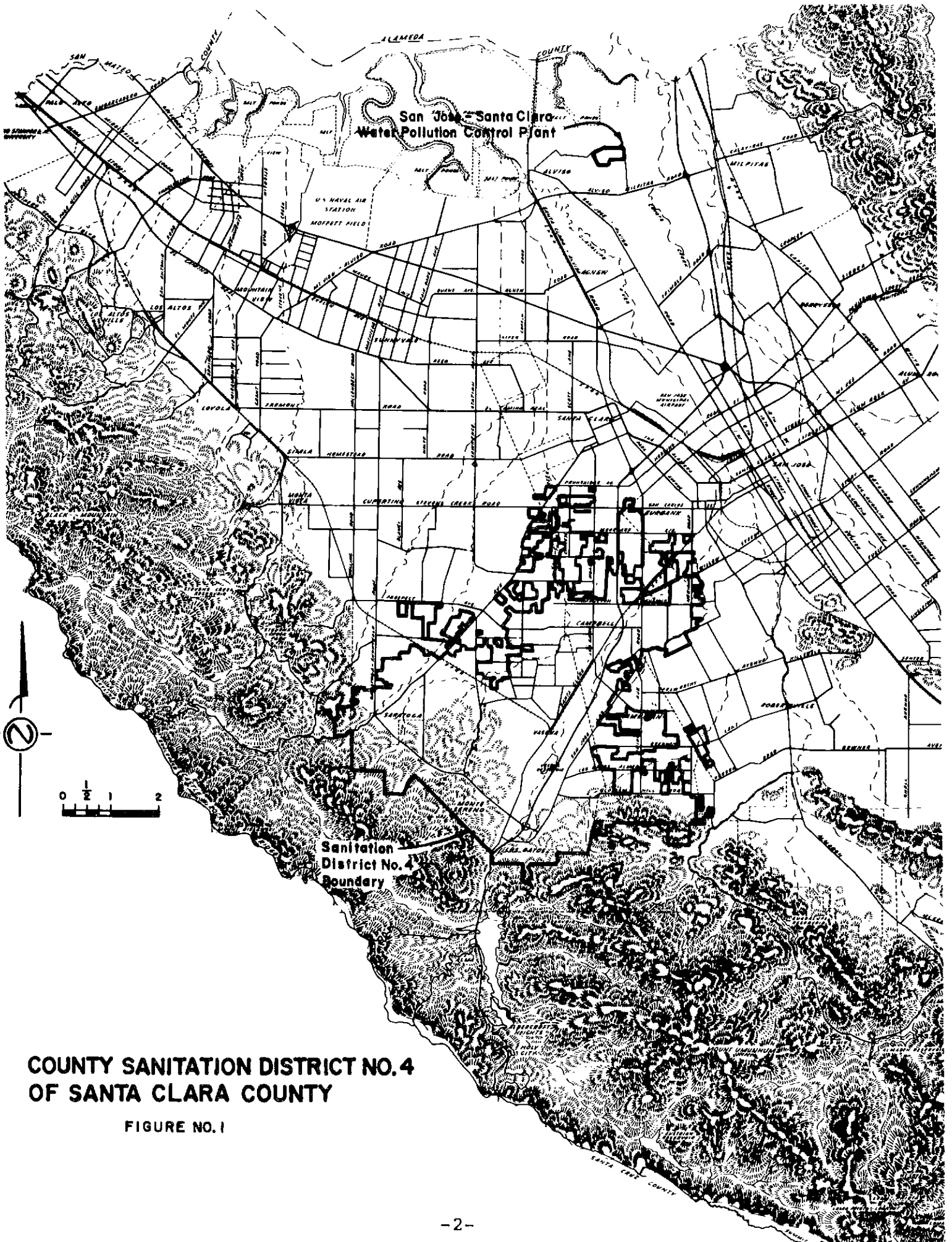
TABLE NO. 1.

ANNEXATIONS TO AND WITHDRAWALS FROM
COUNTY SANITATION DISTRICT NO. 4

| | | |
|--------------------------------|--------------------|--------------------|
| Incorporating area (year 1949) | | 23,509 acres |
| Prior to fiscal year 1963-64 | | |
| *Withdrawals | 6,043 acres | |
| Annexation | <u>1,444</u> acres | |
| Net decrease in area | | 4,599 acres |
| During fiscal year 1962-63 | | |
| *Withdrawals | 291 acres | |
| Annexations | 289 acres | |
| Net decrease in area | | <u> 2</u> acres |
| Area as of June 30, 1964 | | 18,908 acres |

*Resulting from annexations by City of San Jose.

Figure No. 2 sets forth the rate of annexation to and withdrawals from Sanitation District No. 4.



**COUNTY SANITATION DISTRICT NO. 4
OF SANTA CLARA COUNTY**

FIGURE NO. 1

It is anticipated that, during the next several years, annexations will continue at the approximate rate of 210 acres per year and withdrawals at the rate of 300 acres per year.

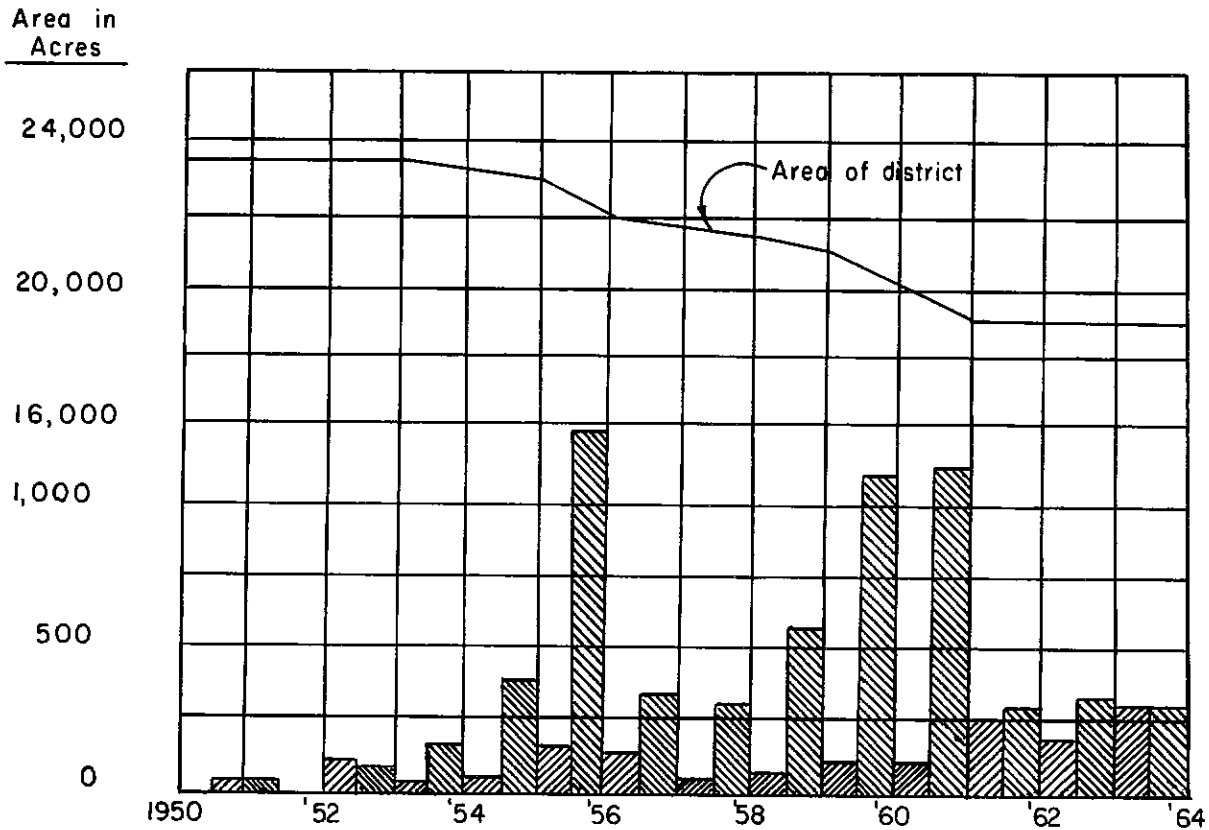


FIGURE NO. 2
ANNEXATIONS TO AND WITHDRAWALS FROM
COUNTY SANITATION DISTRICT NO. 4

Extension of Sewer System

Construction. The trunk, main and lateral sewer systems of Sanitation District No. 4 were extended during the 1963-64 fiscal year: by the District to provide trunk sewers for area under developments; by developers to provide main and lateral sewers for subdivisions; by formation of assessment districts to provide collection sewers to replace failing septic tanks in existing subdivisions.

Table No. 2 lists the miles of sanitary sewers within the Sanitation District and the amount constructed during fiscal year 1963-64.

TABLE NO. 2

COUNTY SANITATION DISTRICT NO. 4
SANITARY SEWER SYSTEM

| <u>Item</u> | <u>CLASSIFICATION</u> | |
|---------------------------------------|---------------------------|-----------------|
| | <u>Trunks & Mains</u> | <u>Laterals</u> |
| *Totals, June 30, 1963 | 197.4 miles | 74.9 miles |
| Extensions by Developers | 11.0 " | 4.8 " |
| Extensions by Assessment Districts | <u>1.1</u> " | <u>0.3</u> " |
| *Totals, June 30, 1964 | 209.5 miles | 80.0 miles |

*Not including main and lateral sewers within Town of Los Gatos

Sewer Extension Programs. Sanitation District No. 4 has two programs to assist land developers in providing sanitary sewers for their developments and to insure installation of sewers of sufficient size to provide for future population growth.

A sewer extension reimbursement program consists of agreements to reimburse installers of sanitary sewers beyond the limits of their developments or for sewers larger than required by their developments. Funds for reimbursements are obtained from connection fees collected from property owners benefiting from the sewer installations. The number and value of reimbursement accounts are set forth in Table No. 3.

A trunk sewer extension program consists of annual appropriations of funds for equal semi-annual allotments for construction of trunk sewers to developments earning the greatest number of priority points. The program also provides for trunk sewer extensions to assessment districts formed for the purpose of installing collector sewers. Table No. 4 sets forth the number and costs of trunk sewers extended under this program.

TABLE NO. 3

COUNTY SANITATION DISTRICT NO. 4
SEWER EXTENSION REIMBURSEMENT ACCOUNTS

| <u>Period Ending</u> | <u>Number of Accounts</u> | | | <u>Total Value</u> | |
|----------------------|---------------------------|----------------|--------------|--------------------|---------------------------|
| | <u>Added</u> | <u>Retired</u> | <u>Total</u> | <u>Listed</u> | <u>Private Reimbursed</u> |
| <u>April 1</u> | | | | | |
| 1954 | 5 | None | 5 | \$ 36,760 | \$ 5,094 |
| 1955 | 7 | " | 12 | 50,018 | 11,044 |
| 1956 | 11 | " | 23 | 99,552 | 23,241 |
| 1957 | 13 | 5 | 31 | 134,769 | 16,472 |
| 1958 | 16 | 1 | 46 | 205,687 | 16,698 |
| 1959 | 21 | 1 | 66 | 265,430 | 21,440 |
| 1960 | 11 | 8 | 69 | 296,093 | 51,869 |
| 1961 | 11 | 2 | 78 | 320,101 | 31,419 |
| 1962 | 13 | 3 | 88 | 342,614 | 27,048 |
| 1963 | 16 | 3 | 101 | 425,012 | 34,555 |
| 1964 | 27 | 9 | 119 | 476,842 | 33,418 |

As of April 1, 1964, \$187,216 of the total value of reimbursement accounts is payable to Sanitation District No. 4.

TABLE NO. 4

TRUNK SEWER EXTENSIONS BY
COUNTY SANITATION DISTRICT NO. 4
UNDER RESOLUTION NO. 309.2

| <u>Closing Date</u> | <u>Applications</u> | | <u>Sewers Installed</u> | |
|---------------------|---------------------|-----------------|-------------------------|---------------|
| | <u>Submitted</u> | <u>Accepted</u> | <u>Footage</u> | <u>Cost</u> |
| July, 1958 | 1 | 1 | 1,288 | \$5,120.13 |
| Nov., 1958 | None | None | None | None |
| May, 1959 | 2 | 2 | 97 | 3,452.91 |
| Nov., 1959 | None | None | None | None |
| May, 1960 | 2 | 2 | 1,182 | 4,725.66 |
| Nov., 1960 | 4 | 2 | 3,324 | 30,274.00 |
| May, 1961 | 2 | 1 | 1,780 | 17,500.00 |
| Nov., 1961 | 2 | 1 | 2,113 | 17,500.00 |
| May, 1962 | 1 | None | None | None |
| Nov., 1962 | 2 | 1 | 3,670 | *26,250.00 |
| May, 1963 | 2 | 2 | 7,989 | *27,220.00 |
| Nov., 1963 | 3 | 3 | 2,112 | *20,500.00 |
| Feb., 1964 | 1 | 1 | 2,400 | *14,200.00 |
| May, 1964 | 1 | 1 | 1,770 | *17,500.00 |
| Totals | | | 27,725 | *\$184,242.70 |

*Estimated amounts, projects not completed.

Sewer Connections. Connections of single and multiple family dwellings, schools, commercial and industrial buildings to the sewer system of the Sanitation District No. 4 reflects the continuing increase of population within the District and expansion of sewers into the new developments. The number and rate of sewer connections, made during fiscal year 1963-64, are set forth in Table No. 5 and Figure No. 3.

TABLE NO. 5

DISTRIBUTION OF SEWER CONNECTIONS
WITHIN COUNTY SANITATION DISTRICT NO. 4

| <u>Area</u> | <u>Number of Units Connected</u> | |
|---|----------------------------------|----------------------|
| | <u>June 30, 1963</u> | <u>June 30, 1964</u> |
| Cities of Campbell, Monte Sereno, and Saratoga and unincorporated areas | 14,398 | 16,197 |
| Town of Los Gatos | <u>4,788</u> | <u>5,194</u> |
| NUMBER OF UNITS CONNECTED IN THOUSANDS | 19,186 | 21,391 |

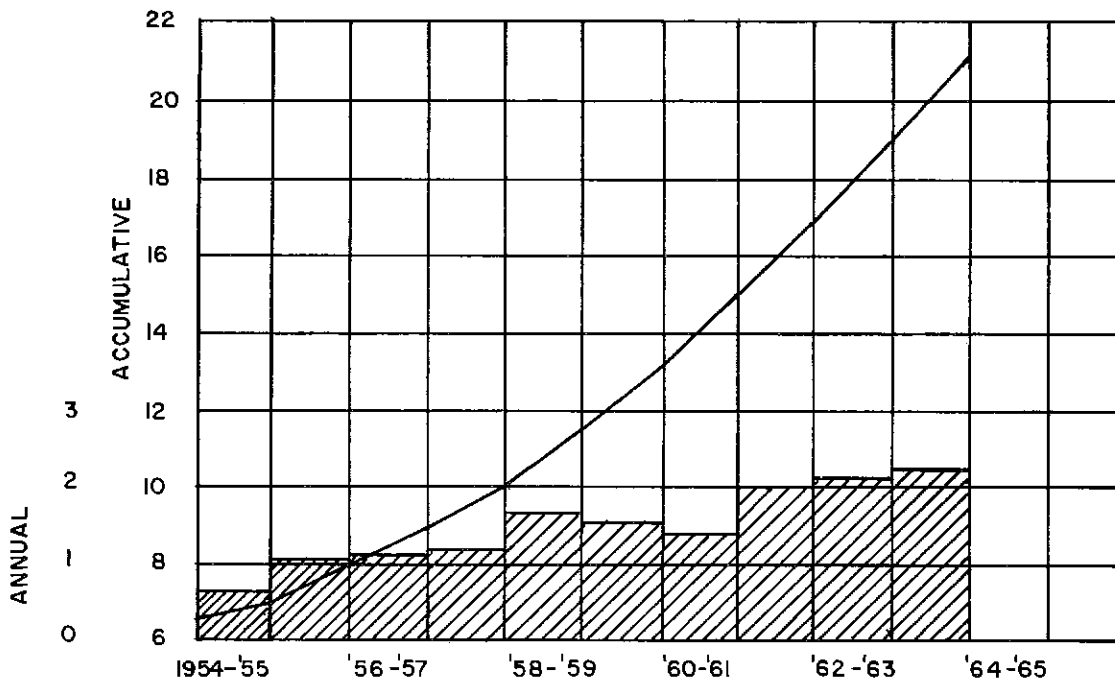


FIGURE NO. 3

*UNITS CONNECTED TO SANITARY SEWER SYSTEM OF
COUNTY SANITATION DISTRICT NO. 4

* A unit consists of: a single family dwelling; each unit of a multiple dwelling; each unit of a commercial establishment; each industry; each school; each 3½ beds within a hospital.

Sewage Disposal

County Sanitation District No. 4 has contracted with the City of San Jose since year 1950, for conveyance of its sewage through the City's sewer system from the boundary of the District to the San Jose - Santa Clara Sewage Treatment Plant at Alviso on the Southern end of San Francisco Bay. Construction was completed on expansion of the treatment plant to provide for secondary treatment of the sewage from the Cities of San Jose and Santa Clara and tributary agencies through 1970. The total capital worth of the treatment plant now exceeds \$27,000,000. The cost of sewage disposal for Sanitation District No. 4 since commencement of sewage treatment is set forth in Table No. 6.

TABLE NO. 6

COUNTY SANITATION DISTRICT NO. 4 SEWAGE DISPOSAL COSTS

| <u>Calendar Year</u> | <u>Average Daily Sewage Flow in Million Gallons</u> | <u>Cost per Million Gallons Conveyance</u> | <u>*Treatment</u> |
|----------------------|---|--|-------------------|
| 1957 | 2.218 | \$6.85 | \$35.00 |
| 1958 | 2.515 | 3.42 | 30.80 |
| 1959 | 2.737 | 3.05 | 30.22 |
| 1960 | 3.039 | 1.47 | 30.17 |
| 1961 | 3.266 | 1.77 | 36.84 |
| 1962 | 4.544 | 5.56 | 32.58 |
| 1963 | 5.3210 | 4.74 | 33.40 |

*Excludes capital costs chargeable to reserve capacity.

Negotiations commenced in 1957 between Sanitation District No. 4 and the City of San Jose to replace the 1950 contract, which has proven to be inequitable to both the City and the District, were continued during fiscal year 1963-64. Prospects appear good for execution of new contracts for use of the San Jose - Santa Clara Sewage Treatment Plant and the City of San Jose Sewer System during fiscal year 1964-65.

Buildings and Grounds

The administrative office and maintenance yard of Sanitation District No. 4 are located on Sunnyoaks Avenue, West of the Route 17 Freeway. Adequate land space is provided by a 1957 acquisition of 1.23 acres within the industrial area of City of Campbell.

Plans were commenced during fiscal year 1963-64 for expansion of the administrative office by 990 square feet to a total of 2,900 square feet to provide for additional engineering personnel. Construction should be completed by spring of 1965.

Personnel

District personnel are included within 3 departments which provide the following major services for the people within County Sanitation District No. 4: engineering, sewer maintenance and operation, and business administration.

As of June 30, 1964, Sanitation District No. 4 employed 21 persons who were assigned to the 3 departments with the job classification set forth in Figure No. 4 and Table No. 7.

Table No. 7

COUNTY SANITATION DISTRICT NO. 4
PERSONNEL CLASSIFICATIONS
AS OF JUNE 30, 1964

| <u>Name</u> | <u>Classification</u> |
|-------------------------------|---|
| Stephen H. Goodman | District Manager and Engineer |
| <u>Business Department</u> | |
| Everett M. Olson | Business Manager |
| Dixie Lee Codner | Junior Typist-Clerk |
| Claribel S. Johnson | Senior Typist-Clerk |
| George L. Michaelson | Accountant I |
| DeLora M. Spence | Senior Stenographer-Clerk |
| <u>Engineering Department</u> | |
| LeRoy E. Weaver | Assistant Civil Engineer |
| Marcus S. Greathouse | Sewer Construction Inspector |
| Robert J. Gurrola | Associate Map and Engineering Draftsman |
| Glenn W. Hitz | Junior Civil Engineer |
| Shoichi Yoshida | Junior Civil Engineer |
| Fremont O. Zschokke | Sewer Construction Inspector |

COUNTY SANITATION DISTRICT NO. 4
OF SANTA CLARA COUNTY

ORGANIZATION CHART

AS OF JUNE 30, 1964

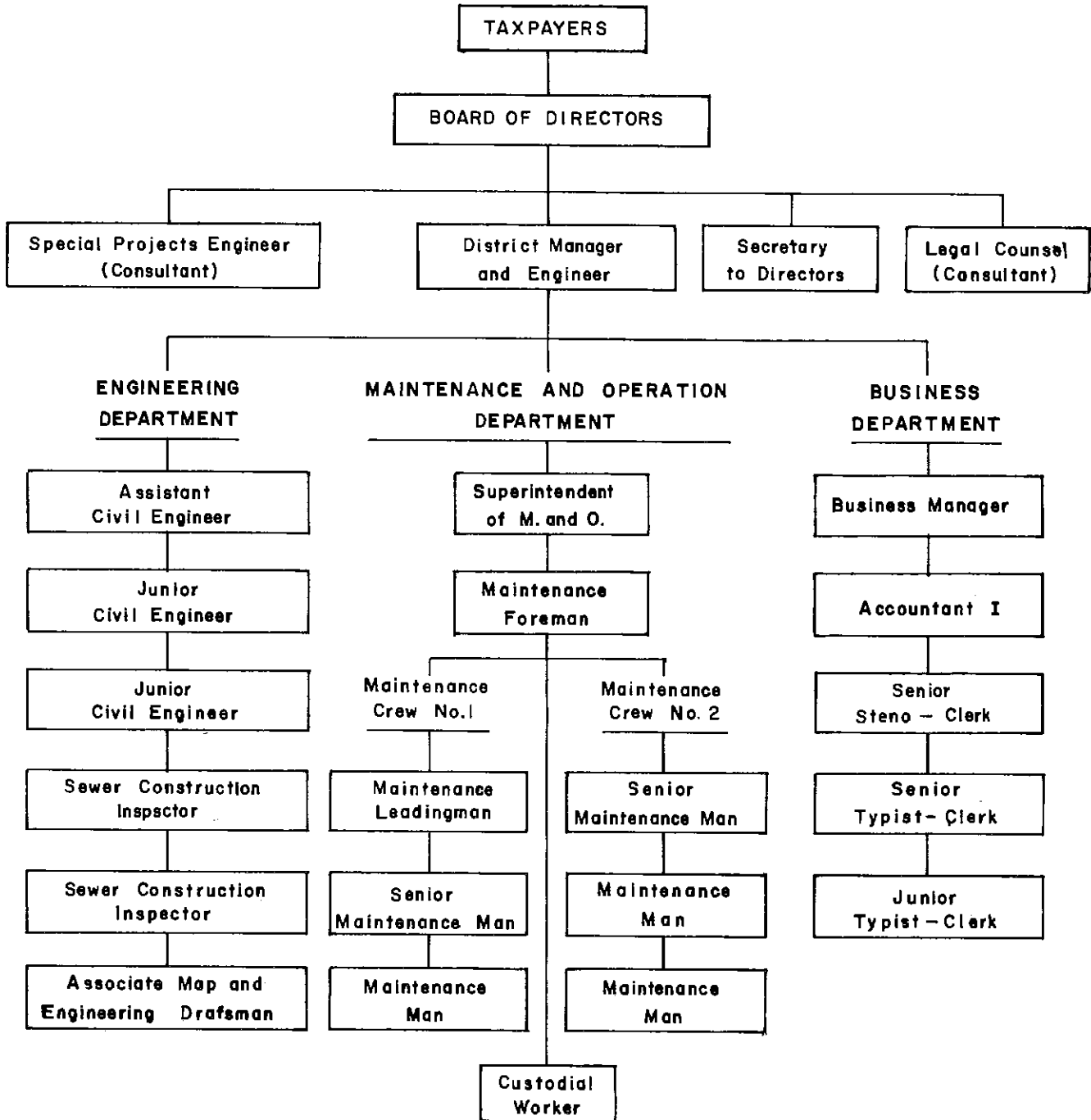


FIGURE NO. 4

Table No. 7 (con't)

| <u>Name</u> | <u>Classification</u> |
|---|------------------------------|
| <u>Maintenance and Operation Department</u> | |
| Walter R. Silberbauer | Superintendent |
| Albert D. Armstrong | Maintenance Man |
| James Beyer | Maintenance Man |
| Paul D. Brownfield | Senior Maintenance Man |
| Willard C. Cary | Senior Maintenance Man |
| Fred H. Hieber | Maintenance Foreman |
| Ralph B. Lingenfelter | Maintenance Man |
| Ervin W. Ritenour | Custodial Worker (Part-time) |
| Edward J. Zarate | Maintenance Leading Man |

Professional Services

The following professional services are provided by the listed persons and firms on a consulting basis when ordered by the Board of Directors of the Sanitation District.

General Legal Services

David H. Adams, Adams, Ball & Kraft
Attorneys at Law
San Jose, California

Advises District on all general legal matters, attends Board meetings, prepares resolutions, ordinances, agreements, etc.

Legal Services for Assessment Projects

Kenneth I. Jones, Wilson, Harzfeld, Jones and Morton
Attorneys and Counsellors at Law
San Mateo, California

Advises District on legal matters concerning assessment projects for installation of sanitary sewers.

Special Engineering Services

Thelo A. Perrott, Consulting Civil Engineer
Palo Alto, California

Prepares plans, specifications, cost estimates for assessment projects and special sewerage projects.

Activities

Activities of the 3 departments of County Sanitation District No. 4 have been categorized and measured to allow for comparison with previous years and other similar service agencies.

Business Department. The measurable activities of the Business Department, supervised by Everett M. Olson, during fiscal year 1963-64 are listed in Table No. 8.

TABLE NO. 8

COUNTY SANITATION DISTRICT NO. 4
ACTIVITIES OF BUSINESS DEPARTMENT

| <u>Item</u> | <u>Year Ending</u> | |
|---|----------------------|----------------------|
| | <u>June 30, 1963</u> | <u>June 30, 1964</u> |
| Addition of sewer service charges to county tax bill | 11,658 | 12,847 |
| Direct billing of accounts receivable | 5,800 | 5,046 |
| Filing & refiling, number of pieces | 9,700 | 13,888 |
| Grants of easements, negotiated | 46 | 45 |
| Letters & reports, etc., typed, number | 4,600 | 9,512 |
| Mailing, number of pieces | 13,500 | 13,490 |
| Mimeographing & photocopying number of pieces | 82,400 | 65,645 |
| People received & directed | 5,400 | 5,896 |
| Phone & radio calls received and directed | 9,200 | 13,478 |
| Sewer connection inspection reports processed | 2,400 | 1,206 |
| Sewer connection permits issued | 1,466 | 896 |
| Files purged, number of copies | <u>400</u> | <u>500</u> |
| Total Units | 146,570 | 142,449 |

It is impractical to determine unit costs for the various items listed in Table No. 8. However, the average unit cost was obtained by dividing the total office expense by the total number of work units, which results in the average cost of \$0.36 per item for fiscal year 1963-64. The unit cost for fiscal year 1962-63 was also \$0.36.

Direct cost accounting has been applied to billing and collection of sewer service charges. Table No. 9 sets forth the average unit costs during the past several years.

TABLE NO. 9

COUNTY SANITATION DISTRICT NO. 4
 COST OF SEWER SERVICE CHARGE, BILLING AND COLLECTION

| <u>Collection Method and Period</u> | <u>Costs Per Account Per Year</u> |
|---|---------------------------------------|
| Direct Billing by Mail Prior to June 30, 1958 | 70.0¢ |
| Addition to County Tax Bill for Year 1958-59 | 51.8 |
| " " " " " " " 1959-60 | 33.6 |
| " " " " " " " 1960-61 | 18.2 |
| " " " " " " " 1961-62 | 14.0 |
| " " " " " " " 1962-63 | 15.0 |
| " " " " " " " 1963-64 | 9.0 |
| " " " " " " " 1964-65 | 6.0 |

Figure No. 5 illustrates the investment program administered by the Business Department with the cooperation of Mrs. Imogene Clark, County Treasurer.

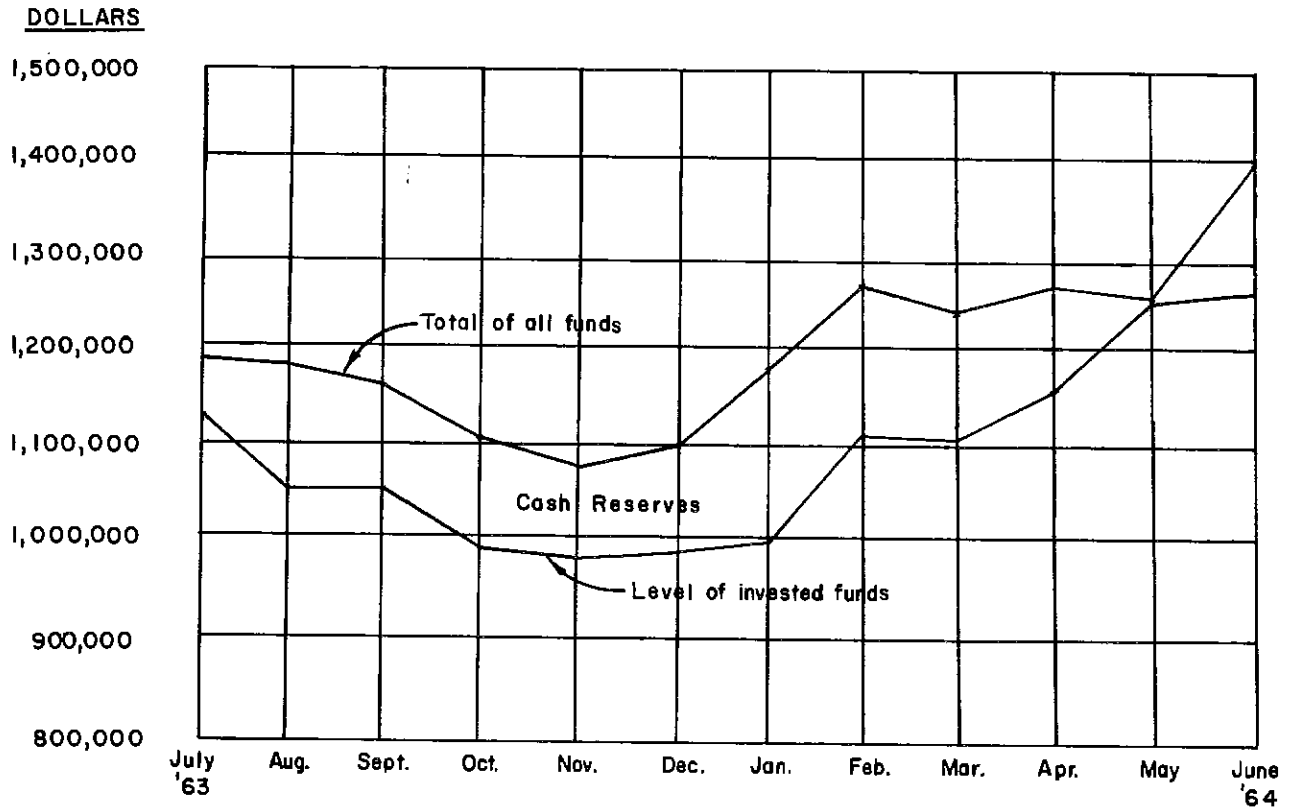


FIGURE NO. 5
 COUNTY SANITATION DISTRICT NO. 4
 CASH AND INVESTED CAPITAL

Sanitation District No. 4 has its surplus funds presently invested in time deposits with banks in the Campbell area. Interest in the amount of \$39,709 was earned last year by investment of idle funds which in turn represents approximately a 3 cent savings in the District's tax rate.

Engineering Department. The activities of the Engineering Department, under the supervision of LeRoy E. Weaver, during the past 2 fiscal years, are set forth in Table No. 10.

TABLE NO. 10

COUNTY SANITATION DISTRICT NO. 4
ACTIVITIES OF ENGINEERING DEPARTMENT

| <u>Activity</u> | <u>Quantity for Year Ending</u> | |
|--|---------------------------------|----------------------|
| | <u>June 30, 1963</u> | <u>June 30, 1964</u> |
| District code map sheets drafted | 8 | 41 |
| District code map sheets revised | 30 | 71 |
| Lateral sewer construction inspections | 89 | 69 |
| Main and trunk sewer construction projects inspected | 44 | 53 |
| Engineering studies and cost estimates for proposed assessment projects and other sewer extensions | 27 | 23 |
| Sewer assessment projects coordinated to completion | 1 | 3 |
| Trunk sewer plans and specifications prepared | 0 | 1 |
| Main sewer extension plans prepared | 5 | 5 |
| Sewer plans checked, approved, costs estimated and fees computed: | | |
| A. Under District jurisdiction | 43 | 50 |
| B. Under Town of Los Gatos jurisdiction | 15 | 13 |
| Exhibits for resolutions | 19 | 20 |
| Plats and legal descriptions for annexations to the District | 7 | 8 |
| Assessment projects engineered | 1 | 3 |

The Engineering Department completed "A Report on the Collection, Treatment and Disposal of the Sewage of County Sanitation District No. 4 of Santa Clara County, California" in May 1964 and a Citizens Committee for Review of Planning for Sanitation District No. 4 is currently reviewing the report.

A program to minimize the possible backflow of sewage into buildings, including a field inspection of proposed building sites and existing buildings, brought about installation of 75 backflow protective devices for buildings being constructed. Notification to owners of existing buildings requiring backflow protection has also been started.

Maintenance and Operation Department. An extensive preventative maintenance program is used by the Maintenance and Operation Department, under the supervision of Walter R. Silberbauer, to maintain 272 miles of sewer lines within Sanitation District No. 4. The ratio of miles of sewers maintained to each man in the department was 30 to 1. The activities and unit costs of the department are set forth in Table No. 11.

TABLE NO. 11

COUNTY SANITATION DISTRICT NO. 4
ACTIVITIES AND COSTS OF MAINTENANCE DEPARTMENT

| <u>Activity</u> | <u>For Year Ending</u> | | | |
|--|------------------------|------------------|----------------------|------------------|
| | <u>June 30, 1963</u> | | <u>June 30, 1964</u> | |
| | <u>Quantity</u> | <u>Unit Cost</u> | <u>Quantity</u> | <u>Unit Cost</u> |
| <u>Sewer Cleaning</u> | | | | |
| With "Wayne" balls, feet | 224,034 | | 275,816 | |
| With flexible rods and tools, ft. | 14,990 | | 36,031 | |
| With flushing water, feet | <u>7,008</u> | | <u>23,994</u> | |
| Total Cleaned | 246,032 | 7.37¢ | 335,841 | 5.2¢ |
| Sediment removed, pounds | 43,344 | \$0.42 | 56,164 | \$0.32 |
| Siphons inspected & cleaned, number | 88 | \$19.11 | 125 | \$16.16 |
| Stoppages removed | | | | |
| Main Sewers, number | 9 | \$36.60 | 14 | \$37.14 |
| Lateral sewers, number | 136 | \$44.58 | 162 | \$26.64 |
| <u>Control</u> | | | | |
| Sewers inspected, feet | 1,003.856 | 1.15¢ | 1,155,166 | 0.82¢ |
| Flow measurements, number | 176 | \$3.17 | 130 | \$2.35 |
| Odor Complaints, number | 2 | \$5.50 | 3 | \$13.75 |
| Root inhibitor dosages, number | 101 | \$3.90 | 58 | \$3.30 |
| Rat poison dosages, number | 119 | \$0.84 | 47 | \$1.38 |
| Pump station inspections, number | 337 | \$6.25 | 345 | \$5.91 |
| Locating lost laterals, number | 6 | \$51.46 | 12 | \$52.25 |

TABLE NO. 11 (con't)

| <u>Activity</u> | <u>For Year Ending</u> | | | |
|---|------------------------|-------------|-----------------|-------------|
| | June 30, 1963 | | June 30, 1964 | |
| | Unit | Unit | Unit | Unit |
| | <u>Quantity</u> | <u>Cost</u> | <u>Quantity</u> | <u>Cost</u> |
| <u>Repairs</u> | | | | |
| Main sewer reconstruction, feet | 82 | \$25.29 | 71 | \$15.84 |
| Lateral sewer reconstruction, feet | 86 | \$14.00 | 84 | \$11.10 |
| Manhole repairs, number | 104 | \$15.36 | 216 | \$11.53 |
| Mainline sewer taps, number | 0 | 0 | 4 | \$19.88 |
| Total sewer cleaning and inspection, feet | 1,249,888 | 2.7¢ | 1,491,007 | 1.8¢ |

A total of 282.4 miles of trunk and main sewers were inspected and/or cleaned (an increase of 45.7 miles for fiscal year 1963-64) with a 0.9 cent reduction in the cost per foot. The entire trunk and main sewer system of Sanitation District No. 4 was inspected and/or cleaned which minimized the potential backflow of sewage and reduced main sewer stoppages (and sewage flows on streets) to only 14 for the entire fiscal year.

Surface cleanouts, at property lines, on lateral sewers have been required since January 1962 and their installation has reduced the average cost of lateral sewer cleaning from \$44.58 during fiscal year 1962-63 to \$26.64 this past fiscal year.

Summary

County Sanitation District No. 4, since incorporation in 1948, has lost 6,334 acres of area by withdrawals by the Cities of San Jose and Santa Clara and gained 1,733 acres of area by annexations within the Town of Los Gatos and City of Saratoga resulting in a current net area of 18,908 acres. Withdrawals and annexations to Sanitation District No. 4 are expected to continue at the rate of 300 and 200 acres per year respectively for the next several years.

Construction of 12.1 miles of trunk and main sewers and 5.1 miles of lateral sewers, during fiscal year 1963-64, brought the total mileage of sewers maintained by Sanitation District No. 4 to 209.5 miles of main and trunk sewers and 80.0 miles of lateral sewer. Since 1954, Sanitation District No. 4 has reimbursed installers of sewers for costs of oversized sewers and sewers beyond their property. As of April 1964, reimbursement accounts totaled \$476,842 and has reimbursed an average of \$24,754 per year. Sanitation District

No. 4 has also made available \$184,243 for extensions of trunk sewers to residential and commercial developments, schools and to assessment districts.

A total of 2,205 residential, commercial, industrial and institutional units were connected to the sewerage system of Sanitation District No. 4 during fiscal year 1963-64, bringing the total number of connected units to 21,391.

Sanitation District No. 4 contracts with the City of San Jose for disposal of its sewage through the San Jose - Santa Clara water pollution control plant at a cost of \$33.40 per million gallons during 1963.

Plans have been started to expand the administration building of Sanitation No. 4, on Sunnyoaks Avenue in Campbell, by 990 square feet.

The 21 persons employed by Sanitation District No. 4 at the close of fiscal year 1963-64 are assigned to one of three departments.

The Business Department provided services for "customers" and clerical and administrative services for the other two departments. The department performed 142,449 units of work during fiscal year 1963-64 at an average cost of 36 cents per unit.

The Engineering Department prepared plans and specifications for several assessment districts, one major trunk sewer extension and several main sewer extensions. The department also provided plan checking, construction inspection, drafting and general engineering services. A general plan for Sanitation District No. 4 setting forth the proposed sewer constructions and acquisitions through year 2000 was submitted to the District Board of Directors in May 1964.

The 272 miles of sewer lines within Sanitation District No. 4 were either inspected or cleaned during fiscal year 1963-64 by the Maintenance and Operation Department at a cost of 1.8 cents per foot. Only 14 main sewer stoppages occurred during the year.

FINANCIAL REPORT

General

The activities of County Sanitation District No. 4, oriented toward providing its area with an efficient sanitary sewerage system, are supported by taxes, service charges and fees as set forth in Table No. 12. Careful financial control has made it possible to operate Sanitation District No. 4 with an average tax rate of \$0.176 per hundred dollars assessed valuation and a \$1.10 per month residential sewer service charge.

TABLE NO. 12

GENERAL FINANCIAL STRUCTURE OF
COUNTY SANITATION DISTRICT NO. 4

| <u>Operating Expenditures</u> | <u>Operating Income</u> |
|-------------------------------|-------------------------|
| Administrative Services | Taxation |
| Engineering Services | Sewer Service Charges |
| Maintenance and Operation | Direct Service Charges |
| Sewer Rentals | Direct Service Charges |
| Sewage Treatment | Direct Service Charges |
| | |
| <u>Capital Expenditures</u> | <u>Capital Income</u> |
| Sewer Construction | Taxation |
| Sewer Acquisition | Bond Proceeds |
| Sewer Construction | Connection Fees |
| Sewage Treatment | Assessments |
| Building and Grounds | Taxation |

BALANCE SHEET AS OF June 30, 1964

EXHIBIT A

| ASSETS | Consolidation | | Trust Fund | Gen. Const. Fund | Local Improvement Dist. Bond Fund | Const. Funds | Fixed Assets | G. O. Bonds |
|---|------------------------|-----------------------|---------------------|---------------------|-----------------------------------|--------------------|------------------------|-----------------------|
| | Current Assets | Operating Fund | | | | | | |
| Cash on Deposit with County Treasurer Revolving Fund | \$ 435,226.93 75.00 | \$ 82,849.29 75.00 | \$ 26,939.85 | \$ 1,305.51 | \$ 252,274.68 | \$ 30,337.39 | | \$ 41,520.21 |
| Investments | 1,260,000.00 | 875,000.00 | 17,000.00 | 302,000.00 | | 66,000.00 | | |
| Accounts Receivable: | | | | | | | | |
| Sewer Service Charges Judgements | 20,546.41 424.37 | 20,546.41 424.37 | | | | | | |
| Other | 7,526.09 | 4,717.24 | 2,808.85 | | | | | |
| Due From Other Funds | 24,804.83 | 24,804.83 | | | | | | |
| Prepaid Insurance | 314.82 | 314.82 | | | | | | |
| Maintenance Supplies Inventory | 3,254.00 | 3,254.00 | | | | | | |
| TOTAL CURRENT ASSETS | 1,752,172.45 | 1,011,985.96 | 46,748.70 | 303,305.51 | 252,274.68 | 96,337.39 | | 41,520.21 |
| <u>Fixed Assets</u> (Note 2) | | | | | | | | |
| ✓ Dist. Sewer System (Note 1) | 5,975,474.34 | | | | | | 5,975,474.34 | |
| Construction and Maintenance Equip. Bldgs. and Grounds | 49,389.35 76,773.48 | | | | | | 49,389.35 76,773.48 | |
| Office Furniture and Fixtures | 20,098.05 | | | | | | 20,098.05 | |
| Small Tools and Equip. | 5,992.87 | | | | | | 5,992.87 | |
| TOTAL FIXED ASSETS | 6,127,728.09 | | | | | | \$6,127,728.09 | |
| <u>Other Assets</u> | | | | | | | | |
| Property Acquisition Deposits | 4,099.26 | 2,528.76 | | | | 1,570.50 | | |
| Amt. To Be Provided For Pmt. Of Long-Term Debt | 1,444,041.04 | | | | | | | 1,444,041.04 |
| Due From Future Connectors To Oversize Sewer Lines (Note 3) | 150,243.44 | | 150,243.44 | | | | | |
| TOTAL OTHER ASSETS | 1,598,383.74 | 2,528.76 | 150,243.44 | | | 1,570.50 | | 1,444,041.04 |
| TOTAL ASSETS | \$9,478,284.28 | \$1,014,514.72 | \$196,992.14 | \$303,305.51 | \$252,274.68 | \$97,907.89 | \$6,127,728.09 | \$1,485,561.25 |

BALANCE SHEET AS OF JUNE 30, 1964

EXHIBIT A (Con't)

| <u>LIABILITIES AND SURPLUS</u> <u>Current Liabilities</u> | <u>Consolidation</u> | <u>Operating Fund</u> | <u>Trust Fund</u> | <u>Gen. Const. Fund</u> | <u>Local Improvement Dist. Bond Fund Const. Funds</u> | <u>Fixed Assets</u> | <u>G. O. Bonds</u> |
|---|-----------------------|-----------------------|---------------------|-------------------------|---|-----------------------|-----------------------|
| Accounts Payable | \$ 61,572.99 | \$ 61,572.99 | | | | | |
| Payroll Deductions Payable | 5,853.23 | 5,853.23 | | | | | |
| Overtime Payable | 245.70 | 245.70 | | | | | |
| Matured Bond Interest Payable (Schedule 3) | 472.50 | | | | | | \$ 472.50 |
| Bond and Int. Maturities Due Within One Year (Schedule 3) | 67,980.75 | | | | | | 67,980.75 |
| Collections for Payment of Local Improvement Dist. Bonds and Int. | 252,274.68 | | | | \$252,274.68 | | |
| Collections Due Contributors of Oversized Installations | 9,941.98 | | 9,941.98 | | | | |
| Insp. and Const. Depts. | 12,122.73 | | 12,122.73 | | | | |
| Due to Other Funds | 24,804.83 | | 14,159.14 | | \$10,645.69 | | |
| Other Deposits | 10,524.85 | | 10,524.85 | | | | |
| <u>TOTAL CURRENT LIABILITIES</u> | <u>445,794.24</u> | <u>67,671.92</u> | <u>46,748.70</u> | | <u>252,274.68</u> | | <u>68,453.25</u> |
| <u>Long-Term Liabilities</u> (Schedule 3) | | | | | | | |
| General Obligation Bonds Due After July 1, 1965 | 1,164,000.00 | | | | | | 1,164,000.00 |
| Unmatured Bond Int. Payable After July 1, 1965 | 253,108.00 | | | | | | 253,108.00 |
| <u>TOTAL LONG-TERM LIAB.</u> | <u>1,417,108.00</u> | | | | | | <u>1,417,108.00</u> |
| <u>Other Liabilities</u> | | | | | | | |
| Due Installers on Oversize Installations (Note 3) | 150,243.44 | | 150,243.44 | | | | |
| <u>TOTAL OTHER LIABILITIES</u> | <u>150,243.44</u> | | <u>150,243.44</u> | | | | <u>-0-</u> |
| Surplus (Exhibit C) (Note 5) | 7,465,138.60 | 946,842.80 | | \$303,305.51 | 87,262.20 | 6,127,728.09 | -0- |
| <u>TOTAL LIAB. AND SURPLUS</u> | <u>\$9,478,284.28</u> | <u>\$1,014,514.72</u> | <u>\$196,992.14</u> | <u>\$303,305.51</u> | <u>\$252,274.68</u> | <u>\$6,127,728.09</u> | <u>\$1,485,561.25</u> |

COUNTY SANITATION DISTRICT NO. 4

BALANCE SHEET NOTES

AS OF JUNE 30, 1964

1. The valuation of sewer lines is reviewed annually. The value of contributed lines from contractors, subdividers, etc., is estimated by the District Engineer and is included in the total valuation shown on the balance sheet.
2. All assets are shown at cost, undepreciated.
3. This amount is contingent on the collection of amounts due from future connectors to oversize lines.
4. The Public Improvement Bonds were issued under provisions of the Municipal Improvement Act. of 1913 and of the Improvement Bond Act. of 1915, and are primarily obligations of the individual property owners and contingent liabilities of the District and are excluded from the balance sheet. See Schedule 4.
5. The Construction Fund Surplus originated from the sale of Series D General Obligation Bonds dated January 1, 1956.

EXHIBIT B

COMPARATIVE OPERATING STATEMENT

FISCAL YEARS 1963-1964 and 1962-1963

| | <u>July 1, 1963 to June 30, 1964</u> | <u>July 1, 1962 to June 30, 1963</u> |
|-------------------------------|--------------------------------------|--------------------------------------|
| <u>INCOME</u> | | |
| Sewer Service Charges | \$202,889.81 | \$188,442.65 |
| Tax Collections (Exhibit D) | 232,644.91 | 206,702.19 |
| Connection Fees | 36,598.59 | 43,671.04 |
| Income From Investments | 28,531.51 | 22,159.58 |
| Overhead Billed | 37,381.33 | 7,766.68 |
| Inspection Fees | 6,622.00 | 10,600.50 |
| Equipment Rental | 1,547.56 | 3,347.36 |
| Plan Checking Fees | 5,013.00 | 4,234.00 |
| Clean Out Box Fees | 2,703.00 | 4,322.57 |
| Other Income | <u>6,155.58</u> | <u>\$498,773.70</u> |
| Less Refunds | <u>1,005.29</u> | <u>276.15</u> |
| <u>TOTAL OPERATING INCOME</u> | \$559,082.00 | \$498,497.55 |

EXPENSE

Direct Operation and Maint.

| | | |
|------------------------------------|---------------|--------------|
| Maintenance, Labor and Supervision | 42,001.12 | 36,859.73 |
| Supplies | 25,606.46 | 15,539.35 |
| Utilities and Telephone | 2,488.47 | 1,629.76 |
| Maint. - Bldgs. and Grounds | 1,306.78 | 2,039.53 |
| Payroll Taxes | 1,595.45 | 1,272.37 |
| Vacation and Sick Leave | 3,473.58 | 3,340.90 |
| Hospital Insurance | 822.60 | 677.14 |
| Travel | 187.46 | 116.38 |
| Street Repairs Materials | 391.76 | 243.10 |
| Gasoline and Oil | 1,101.49 | 872.45 |
| Equip. Repairs and Maint. | 4,764.05 | 5,271.79 |
| Sewage Treatment and Plant Rental, | | |
| City of San Jose | 55,930.46 | 96,094.92 |
| Equipment Rental | 196.40 | 324.12 |
| Sewer Tapping | 28.10 | - |
| Miscellaneous | <u>121.84</u> | <u>10.00</u> |

TOTAL DIRECT OPERATION AND MAINTENANCE

\$140,016.02 \$164,291.54

COMPARATIVE OPERATING STATEMENT

EXHIBIT B (Con't)

FISCAL YEARS 1963-1964 and 1962-1963

| | <u>July 1, 1963 to June 30, 1964</u> | <u>July 1, 1962 to June 30, 1963</u> |
|---|--------------------------------------|--------------------------------------|
| <u>EXPENSE</u> | | |
| <u>ENGINEERING EXPENSE</u> | | |
| Planning and Cost Estimating | \$46,581.73 | \$27,935.39 |
| Drafting and Inspection | 7,175.20 | 7,319.42 |
| General Engineering and Supervision | 5,327.12 | 3,613.61 |
| Supplies and Blueprinting | 1,342.98 | 1,191.87 |
| Plan Checking and Fee Computation | 3,217.86 | 2,589.00 |
| Surveying and Sewage Flow Measurements | 517.81 | 354.54 |
| Payroll Taxes | 1,502.02 | 1,150.31 |
| Vacation and Sick Leave | 3,430.79 | 1,427.66 |
| Hospital Insurance | 740.34 | 618.16 |
| Engineers' Travel and Inspectors' Mileage | <u>771.20</u> | <u>1,695.53</u> |
| <u>TOTAL ENGINEERING EXPENSE</u> | <u>\$70,607.05</u> | <u>\$47,895.49</u> |
| <u>OFFICE EXPENSE</u> | | |
| Clerical Salaries and Supervision | 30,792.83 | 30,181.91 |
| Office Supplies | 3,727.73 | 3,465.61 |
| Utilities and Telephone | 1,856.25 | 1,894.14 |
| Maint. - Bldgs. and Grounds | 1,301.20 | 1,537.34 |
| Payroll Taxes | 1,053.77 | 825.66 |
| Vacation and Sick Leave | 2,430.43 | 2,385.77 |
| Hospital Insurance | 539.26 | 490.20 |
| Travel | 409.68 | 163.76 |
| Insurance | 4,975.22 | 5,053.64 |
| Repairs and Maintenance | 490.73 | 345.43 |
| Collection Costs, Tax Roll | 2,213.84 | 2,445.10 |
| City and County Insp. Fees | 2,335.00 | 3,057.50 |
| Uncollectible Accts. Receivable | 74.60 | 414.30 |
| Miscellaneous | <u>1,280.85</u> | <u>213.36</u> |
| <u>TOTAL OFFICE EXPENSE</u> | <u>\$53,481.39</u> | <u>\$52,473.72</u> |

COMPARATIVE OPERATING STATEMENT

EXHIBIT B (Con't)

FISCAL YEARS 1963-1964 and 1962-1963

| <u>EXPENSE</u> | <u>July 1, 1963 to June 30, 1964</u> | <u>July 1, 1962 to June 30, 1963</u> |
|--|--------------------------------------|--------------------------------------|
| <u>BOARD OF DIRECTORS' EXPENSE</u> | | |
| Directors' Fees and Expenses | \$4,176.95 | \$4,006.21 |
| Secretary's Salary | 1,100.00 | 1,200.00 |
| Legal Advertising | <u>399.38</u> | <u>569.64</u> |
| <u>TOTAL BOARD OF DIRECTORS' EXPENSE</u> | <u>\$5,676.33</u> | <u>\$5,775.85</u> |
| <u>PROFESSIONAL SERVICES</u> | | |
| Legal | 5,345.61 | 5,187.50 |
| Engineering | - | 3,191.47 |
| Accounting | <u>686.00</u> | <u>864.00</u> |
| <u>TOTAL PROFESSIONAL SERVICES</u> | <u>6,031.61</u> | <u>9,242.97</u> |
| <u>TOTAL EXPENSE</u> | <u>\$275,812.40</u> | <u>\$279,679.57</u> |
| <u>NET INCOME</u> | <u>\$283,269.60</u> | <u>\$218,817.98</u> |

RECONCILIATION OF SURPLUS

JULY 1, 1963 to June 30, 1964

| | Consolidation | Fund | Operating | Gen. Const. | Fund | L.I.D. Const. | Fixed Assets | G. O. Bonds |
|--|----------------|--------------|--------------|--------------|----------------|---------------|--------------|-------------|
| | \$6,846,726.35 | \$738,686.63 | \$303,305.51 | \$94,668.60 | \$5,710,065.61 | -0- | | |
| Balance June 30, 1963 | | | | | | | | |
| ADD: | | | | | | | | |
| Net Income 1963-1964 (Exhibit B) | 283,269.60 | 283,269.60 | | | | | | |
| Sale of Project Const. Bonds | 111,453.79 | | | 111,453.79 | | | | |
| Proj. Const. Assessments Pd. | 40,259.98 | | | 40,259.98 | | | | |
| Income From Investments | 11,177.41 | | | 11,177.41 | | | | |
| Contributed Facilities | 195,082.00 | | | | | | | 195,082.00 |
| Adj. of Sewer Service Chgs. Placed on the Tax Roll | 69.79 | 69.79 | | | | | | |
| Dist. Contribution - 1964-1 Assessment Project | 5,291.16 | | | 5,291.16 | | | | |
| Miscellaneous Adjustments | 203.04 | 203.04 | | | | | | |
| Increase in Small Tools Inv. | 1,577.54 | | | | | | 1,577.54 | |
| <u>TOTAL ADDITIONS:</u> | \$ 648,384.31 | \$283,542.43 | \$11,177.41 | \$157,004.93 | \$ 196,659.54 | | | -0- |
| DEDUCT: | | | | | | | | |
| Adj. of Sewer Service Chgs. Made to Cities and Schools For Fiscal Year 1963-1964 | 424.11 | 424.11 | | | | | | |
| Amt. to be Provided For Long-Term Liabilities Reduced by Interest Income | 11,177.41 | | | | | | | \$11,177.41 |
| Refund of Const. Surplus to Property Owners-1958-1 Proj. District Contribution | 10,474.79 | | | 10,474.79 | | | | |
| 1964-1 Assessment Project | 5,291.16 | 5,291.16 | | | | | | |
| Miscellaneous Adjustments | 512.75 | | | 512.75 | | | | |
| Trade in of Fixed Assets For New Equipment | 1,947.04 | | | | | | 1,947.04 | |
| <u>TOTAL DEDUCTIONS:</u> | \$ 29,827.26 | \$ 5,715.27 | -0- | \$ 10,987.54 | \$ 1,947.04 | | | \$11,177.41 |
| TRANSFERS: | | | | | | | | |
| Transfer of Fixed Assets: | | | | | | | | |
| Sewer Construction | | | | | | | 213,327.02 | |
| Const. and Maint. Equip. | | (60,048.03) | | (153,278.99) | | | 6,574.52 | |
| Small Tools and Equipment | | (6,574.52) | | | | | 315.33 | |
| Office Furniture and Fixtures | | (315.33) | | | | | 2,733.11 | |
| Transfer of Income From Gen. Const. Fund Investments to General Obligation Bond Fund | | | | (11,177.41) | | | | 11,177.41 |
| Transfer of Unclaimed Project Surplus to Trust Fund | (144.80) | | | (144.80) | | | | |
| <u>NET TRANSFERS:</u> | (144.80) | (69,670.99) | (11,177.41) | (153,423.79) | | | 222,949.98 | 11,177.41 |
| Balance June 30, 1964 | \$7,465,138.60 | \$946,842.80 | \$303,305.51 | \$ 87,262.20 | \$6,127,728.09 | | | -0- |

EXHIBIT D

COUNTY SANITATION DISTRICT NO. 4

TAX LEVY AND COLLECTIONS
JULY 1, 1963 to JUNE 30, 1964

TAX LEVY

| | <u>Equalized Assessed Valuation</u> | <u>Rate Per \$100</u> | <u>Total Levy</u> |
|-------------------------------------|---|---------------------------|-----------------------|
| <u>Maintenance & Operation</u> | | | |
| Secured | \$150,614,760 | .154 | \$231,946.73 |
| Unsecured | 1,753,620 | .153 | <u>26,830.38</u> |
| | | | \$258,777.11 |
| <u>Bond Interest and Redemption</u> | | | |
| <u>Fund No. 1</u> | | | |
| Secured | 254,722,620 | .021 | 53,491.75 |
| Unsecured | 2,957,110 | .024 | <u>709.70</u> |
| | | | 54,201.45 |
| <u>Fund No. 2</u> | | | |
| Secured | 213,345,230 | .002 | 4,266.90 |
| Unsecured | 2,488,180 | None | <u>-0-</u> |
| | | | 4,266.90 |
| TOTAL LEVY | | | <u>\$317,245.46</u> |

TAX COLLECTIONS

| | <u>Current Year Levy</u> | | <u>Prior Year Levy</u> | | <u>Total Collections</u> |
|-------------------------------|--------------------------|-------------------|------------------------|--------------------|------------------------------|
| | <u>Secured</u> | <u>Unsecured</u> | <u>Delinquent</u> | <u>Redemptions</u> | |
| Maintenance & Operation | \$222,204.52 | \$2,564.65 | \$3,550.48 | \$4,325.26 | \$232,644.91 |
| Bond Interest & Redemption | | | | | |
| Fund No. 1 | 51,215.65 | 692.13 | 828.79 | 1,370.59 | 54,107.16 |
| Fund No. 2 | 4,071.54 | - | - | - | <u>4,071.54</u> |
| TOTAL COLLECTIONS | <u>\$277,491.71</u> | <u>\$3,256.78</u> | <u>\$4,379.27</u> | <u>\$5,695.85</u> | <u>\$290,823.61</u> |

SCHEDULE 1

COUNTY SANITATION DISTRICT NO. 4

ACTUAL REVENUE COMPARED TO BUDGET
JULY 1, 1963 to JUNE 30, 1964

| | Budgeted Revenue | Actual Revenue | Excess of Actual Over Budget |
|--------------------------|-------------------------|-------------------------|---------------------------------|
| Sewer Service Charges | \$184,000.00 | \$202,889.81 | \$18,889.81 |
| Connection Fees | 28,000.00 | 36,598.59 | 8,598.59 |
| Inspection Fees | 10,000.00 | 6,622.00 | (3,378.00) |
| Plan Checking Fees | 3,000.00 | 5,013.00 | 2,013.00 |
| Income From Investments- | | | |
| Maintenance Fund | 10,000.00 | 28,531.51 | 18,531.51 |
| Other | <u>21,000.00</u> | <u>47,787.47</u> | <u>26,787.47</u> |
| TOTAL REVENUE | <u>\$256,000.00</u> | <u>\$327,442.38</u> | <u>\$71,442.38</u> |

ACTUAL EXPENDITURES COMPARED WITH BUDGET
 JULY 1, 1963 to JUNE 30, 1964

| | <u>Budget</u> | <u>Budget</u> | <u>Adjusted</u> | <u>Actual</u> | <u>Actual</u> |
|---|-----------------------|--------------------|-----------------------|---------------------|---------------------|
| | <u>\$ 151,536.00</u> | <u>Adjustments</u> | <u>Budget</u> | <u>Expenditures</u> | <u>Expenditures</u> |
| | | <u>-0-</u> | <u>\$ 151,536.00</u> | <u>\$150,970.79</u> | <u>(over) under</u> |
| | | | | | <u>\$ 565.21</u> |
| <u>SALARIES AND WAGES</u> | | | | | |
| <u>MAINTENANCE AND OPERATION</u> | | | | | |
| Election Expense | 15,000.00 | | 15,000.00 | 15,000.00 | 15,000.00 |
| Refunds | 500.00 | 400.00 | 900.00 | (1,005.29) | (105.29) |
| Directors' Fees and Expenses | 4,500.00 | | 4,500.00 | 5,324.08 | (824.08) |
| Advertising | 1,000.00 | 300.00 | 1,300.00 | 352.25 | 947.75 |
| Office Supplies and Expenses | 10,710.00 | | 10,710.00 | 7,258.90 | 3,451.10 |
| Insurance | 6,540.00 | | 6,540.00 | 4,975.22 | 1,564.78 |
| Professional Services | 10,850.00 | | 10,850.00 | 6,031.61 | 4,818.39 |
| Equipment and Plant Repair | 2,200.00 | | 2,200.00 | 952.79 | 1,247.21 |
| Maint. Supplies and Expenses | 10,645.00 | | 10,645.00 | 26,711.58 | (16,066.58) |
| Motor Vehicle Expense | 3,400.00 | | 3,400.00 | 3,003.22 | 396.78 |
| City and County Fees | 3,600.00 | | 3,600.00 | 2,335.00 | 1,265.00 |
| Utilities, Telephone and Radio | 4,170.00 | | 4,170.00 | 4,344.72 | (174.72) |
| Payroll Taxes and Employee Insurance | 7,350.00 | | 7,350.00 | 6,253.44 | 1,096.56 |
| Travel | 2,220.00 | 150.00 | 2,370.00 | 1,368.34 | 1,001.66 |
| Sewage Treatment Plant Rental | 166,200.00 | | 166,200.00 | 55,930.46 | 110,269.54 |
| TOTAL MAINTENANCE AND OPERATION | \$248,885.00 | \$ 850.00 | \$249,735.00 | \$125,846.90 | \$123,888.10 |
| <u>CAPITOL OUTLAY</u> | | | | | |
| Sewer Construction | \$629,860.00 | | \$629,860.00 | \$ 66,878.70 | \$562,981.30 |
| Const. and Maint. Equip. | 5,650.00 | | 5,650.00 | 6,574.00 | (924.00) |
| Office Equipment | 4,840.00 | \$ 425.00 | 5,265.00 | 2,733.11 | 2,531.89 |
| Buildings and Grounds | 400.00 | | 400.00 | 400.00 | 400.00 |
| TOTAL CAPITAL OUTLAY | \$640,750.00 | \$ 425.00 | \$641,175.00 | \$ 76,185.81 | \$564,989.19 |
| <u>RESERVES</u> | | | | | |
| Unappropriated Reserve | \$ 33,000.00 | | \$ 31,725.00 | | \$ 31,725.00 |
| General Reserve | 103,000.00 | | 103,000.00 | | 103,000.00 |
| TOTAL RESERVES | 136,000.00 | (1,275.00) | 134,725.00 | -0- | 134,725.00 |
| TOTAL | \$1,177,171.00 | -0- | \$1,177,171.00 | \$353,003.50 | \$824,167.50 |

SCHEDULE 3

COUNTY SANITATION DISTRICT NO. 4
GENERAL OBLIGATION BONDS
AS OF JUNE 30, 1964

BONDS AUTHORIZED AND ISSUED

| <u>SERIES</u> | <u>Amount Authorized All Series</u> | <u>Amount Sold</u> | <u>Date of Issue</u> | <u>Date of Maturity</u> | <u>Percentage Interest Rates</u> |
|---------------|---|------------------------|--------------------------|-----------------------------|--|
| A | | \$ 400,000 | 4-1-50 | 4-1-51/80 | 2-5 |
| B | | 400,000 | 9-1-50 | 9-1-51/81 | 1½-4½ |
| C | | 450,000 | 1-1-51 | 1-1-52/81 | 1½-2 |
| D | | 300,000 | 1-1-56 | 1-1-57/86 | 3-5 |
| | <u>\$1,650,000</u> | <u>\$1,550,000</u> | | | |

BONDS OUTSTANDING AND MATURED INTEREST

| <u>SERIES</u> | <u>BONDS</u> | | | <u>INTEREST</u> | |
|---------------|-------------------------------|------------------------------|--------------------------------|---------------------------|---------------------------|
| | <u>Outstanding 7-1-63</u> | <u>Retired 1963-1964</u> | <u>Outstanding 6-30-64</u> | <u>Paid 1963-1964</u> | <u>Unpaid 6-30-64</u> |
| A | \$ 299,000 | \$12,000 | \$ 287,000 | \$ 6,513 | \$262.50 |
| B | 311,000 | 12,000 | 299,000 | 5,733 | |
| C | 361,000 | 12,000 | 349,000 | 6,993 | 150.00 |
| D | 275,000 | 5,000 | 270,000 | 8,880 | 60.00 |
| | <u>\$1,246,000</u> | <u>\$41,000</u> | <u>\$1,205,000</u> | <u>\$28,119</u> | <u>\$472.50</u> |

REDEMPTION REQUIREMENTS

| <u>SERIES</u> | <u>1964-1965</u> | | | <u>BALANCE DUE AFTER JULY 1, 1965</u> | | |
|---------------|------------------|-----------------|-----------------|---------------------------------------|------------------|--------------------|
| | <u>Bond</u> | <u>Interest</u> | <u>Total</u> | <u>Bonds</u> | <u>Interest</u> | <u>Total</u> |
| A | \$12,000 | \$ 6,275 | \$18,275 | \$ 275,000 | \$ 48,225 | \$ 323,225 |
| B | 12,000 | 5,223 | 17,223 | 287,000 | 43,808 | 330,808 |
| C | 12,000 | 6,882 | 18,882 | 337,000 | 63,625 | 400,625 |
| D | 5,000 | 8,600 | 13,600 | 265,000 | 97,450 | 362,450 |
| | <u>\$41,000</u> | <u>\$26,980</u> | <u>\$67,980</u> | <u>\$1,164,000</u> | <u>\$253,108</u> | <u>\$1,417,108</u> |

FOR STATEMENT PRESENTATION ALL AMOUNTS HAVE BEEN ROUNDED
TO NEAREST DOLLAR.

SCHEDULE 4

COUNTY SANITATION DISTRICT NO. 4
PUBLIC IMPROVEMENT BONDS AS OF JUNE 30, 1964

| <u>PROJECTS</u> | <u>BONDS AUTHORIZED AND ISSUED</u> | | |
|-----------------|------------------------------------|-------------------------|----------------------------------|
| | <u>Amount Issued</u> | <u>Date of Maturity</u> | <u>Percentage Interest Rates</u> |
| 1952-1 | \$ 232,500.00 | 7-2-54/68 | 3 1/2 - 3 3/4 |
| 1953-1 | 179,132.25 | 7-2-55/69 | 3 1/2 - 5 |
| 1954-1 | 125,207.85 | 7-2-56/70 | 3 - 3 1/4 |
| 1955-1 | 112,552.70 | 7-2-57/71 | 3 1/2 - 3 3/4 |
| 1956-1 | 47,205.90 | 7-2-57/71 | 3 3/4 |
| 1957-1 | 194,578.95 | 7-2-59/73 | 4 3/4 - 5 1/2 |
| 1957-2 | 28,731.45 | 7-2-59/73 | 5 1/4 |
| 1958-1 | 89,496.90 | 7-2-59/73 | 3 3/4 - 4 |
| 1958-2 | 315,289.05 | 7-2-60/74 | 4 - 5 |
| 1959-1 | 54,572.00 | 7-2-60/74 | 4 1/4 - 4 1/2 |
| 1959-2 | 68,857.75 | 7-2-61/75 | 4 1/2 - 4 3/4 |
| 1960-1 | 93,504.30 | 7-2-62/76 | 4 1/4 - 4 1/2 |
| 1961-1 | 114,747.46 | 7-2-62/76 | 4 - 4 1/2 |
| 1961-2 | 48,371.95 | 7-2-63/77 | 4 1/8 |
| 1961-3 | 12,088.65 | 7-2-63/77 | 4 1/2 |
| 1962-1 | 19,435.30 | 7-2-63/77 | 3 7/8 |
| 1962-2 | 57,883.01 | 7-2-63/78 | 3 5/8 - 3 1/2 |
| 1963-1 | 43,926.46 | 7-2-65/79 | 3 3/4 - 4 |
| 1963-2 | 10,128.75 | 7-2-65/79 | 5 1/4 - 3.80 |
| 1964-1 | <u>57,385.79</u> | 7-2-65/79 | 4 |
| | <u>\$1,905,596.47</u> | | |

NOTE: The Public Improvement Bonds were issued under Provisions of the Municipal Improvement Act of 1913 and of the Improvement Bond Act of 1915 and are primarily obligations of the individual property owners and contingent liabilities of the District.

COUNTY SANITATION DISTRICT NO. 4
PUBLIC IMPROVEMENT BONDS AS OF JUNE 30, 1964

SCHEDULE 4 (Con't)

BONDS OUTSTANDING

| <u>PROJECTS</u> | Bonds Outstanding <u>7-1-63</u> | Issued <u>1963-1964</u> | Retired <u>1963-1964</u> | Bonds Outstanding <u>6-30-64</u> |
|-----------------|---------------------------------------|----------------------------|-----------------------------|--|
| 1952-1 | \$ 52,734.37 | | \$ 14,000.00 | \$ 38,734.37 |
| 1953-1 | 54,595.05 | | 10,942.15 | 43,652.90 |
| 1954-1 | 57,041.57 | | 7,000.00 | 50,041.57 |
| 1955-1 | 51,947.40 | | 1,657.90 | 50,289.50 |
| 1956-1 | 24,000.00 | | 3,000.00 | 21,000.00 |
| 1957-1 | 133,191.23 | | 15,971.93 | 117,219.30 |
| 1957-2 | 14,654.30 | | 1,415.43 | 13,238.87 |
| 1958-1 | 53,631.06 | | 7,932.92 | 45,698.14 |
| 1958-2 | 197,001.14 | | 24,000.00 | 173,001.14 |
| 1959-1 | 38,000.00 | | 2,000.00 | 36,000.00 |
| 1959-2 | 54,000.00 | | 7,000.00 | 47,000.00 |
| 1960-1 | 81,000.00 | | 9,000.00 | 72,000.00 |
| 1961-1 | 94,000.00 | | 11,000.00 | 83,000.00 |
| 1961-2 | 43,371.95 | | 2,371.95 | 41,000.00 |
| 1961-3 | 11,282.74 | | 805.91 | 10,476.83 |
| 1962-1 | 19,435.30 | | 1,435.30 | 18,000.00 |
| 1962-2 | 57,883.01 | | 3,000.00 | 54,883.01 |
| 1963-1 | | 43,926.46 | | 43,926.46 |
| 1963-2 | | 10,128.75 | | 10,128.75 |
| 1964-1 | | <u>57,385.79</u> | | <u>57,385.79</u> |
| | <u>\$1,037,769.12</u> | <u>\$111,441.00</u> | <u>\$122,533.49</u> | <u>\$1,026,676.63</u> |

COUNTY SANITATION DISTRICT NO. 4

SCHEDULE 4 (Con't)

PUBLIC IMPROVEMENT BONDS AS OF JUNE 30, 1964

REDEMPTION REQUIREMENTS

| <u>PROJECTS</u> | <u>Redemption Requirements 1964-1965</u> | | | <u>Controller's Fund Balance</u> |
|-----------------|--|--------------------|---------------------|--------------------------------------|
| | <u>Interest</u> | <u>Bond</u> | <u>Total</u> | |
| 1952-1 | \$ 1,365.00 | - | \$ 1,365.00 | \$ 5,768.45 |
| 1953-1 | 1,353.89 | \$10,942.15 | 12,296.04 | 13,321.21 |
| 1954-1 | 1,336.26 | 7,000.00 | 8,336.26 | 19,014.17 |
| 1955-1 | 1,681.91 | 2,657.90 | 4,339.81 | 27,576.22 |
| 1956-1 | 675.00 | 2,000.00 | 2,675.00 | 7,835.08 |
| 1957-1 | 5,310.63 | 11,971.93 | 17,282.56 | 33,919.93 |
| 1957-2 | 605.23 | 415.43 | 1,020.66 | 6,049.91 |
| 1958-1 | 1,653.69 | 5,966.46 | 7,620.15 | 17,842.11 |
| 1958-2 | 6,693.75 | 21,000.00 | 27,693.75 | 45,547.69 |
| 1959-1 | 1,503.75 | 3,000.00 | 4,503.75 | 10,473.17 |
| 1959-2 | 2,050.00 | 4,000.00 | 6,050.00 | 13,898.01 |
| 1960-1 | 2,985.00 | 6,000.00 | 8,985.00 | 9,840.08 |
| 1961-1 | 3,097.50 | 7,000.00 | 10,097.50 | 16,580.84 |
| 1961-2 | 1,546.86 | 3,000.00 | 4,546.86 | 6,051.48 |
| 1961-3 | 326.34 | 805.91 | 1,132.25 | 3,019.49 |
| 1962-1 | 639.36 | 1,000.00 | 1,639.36 | 3,311.67 |
| 1962-2 | 1,948.24 | 3,000.00 | 4,948.24 | 5,658.96 |
| 1963-1 | 2,288.65 | - | 2,288.65 | 6,459.28 |
| 1963-2 | 576.00 | - | 576.00 | 36.93 |
| 1964-1 | <u>1,638.36</u> | <u>-</u> | <u>1,638.36</u> | <u>70.00</u> |
| | <u>\$39,275.42</u> | <u>\$89,759.78</u> | <u>\$129,035.20</u> | <u>\$252,274.68</u> |

SCHEDULE 5

COUNTY SANITATION DISTRICT NO. 4

INSURANCE COVERAGE

AS OF SEPTEMBER 4, 1964

| | |
|---|---------------------|
| Bodily Injury Liability | \$500,000/1,000,000 |
| Property Damage Liability - | |
| Auto | 100,000 |
| Except Auto | 100,000/100,000 |
| Fire, Lightning and Extended Coverage 90% Co-insurance | |
| Office Building | 28,000 |
| Office Building Equipment | 12,500 |
| Corporation Building | 10,000 |
| Corporation Building Equipment | 1,300 |
| Special Floater, Plant Equipment | 28,092 |
| Valuable Papers and Records | 30,152 |
| Accounts Receivable | 50,000 |
| Public Employee Blanket Bond | 10,000 |
| Excess of Blanket Bond, District Manager and Engineer | 40,000 |
| Workmen's Compensation | STATUTORY |

SCHEDULE 6

COUNTY SANITATION DISTRICT NO. 4

RATE SCHEDULE AS OF JULY 1, 1964

| <u>Item</u> | <u>Amount</u> |
|--|------------------------------|
| Taxes | See following Plate No. 2 |
| Sewer Service Charge: | |
| Residential, single family, per month | \$1.10 |
| Residential, multiple family, per unit per month | \$0.80 |
| Commercial, per unit per month, | \$1.10 |
| plus surcharge per 100 cubic feet over 1000 | |
| cubic feet per month | \$0.15 |
| Industrial, per unit per month | \$2.25 |
| plus surcharge per 100 cubic feet over 2000 | |
| cubic feet per month | \$0.15 |
| High Schools, per pupil per year | \$0.50 |
| Elementary Schools, per pupil per year | \$0.35 |
| Construction Inspection Charge | Direct Labor plus |
| Plan Checking Fee | 55% Overhead |
| Equipment Rental | 1 $\frac{1}{4}$ % of Project |
| | Cost Estimate |
| | Cost of Equipment |
| | Amortization |
| Sewer Connection Fees: | |
| Land fronting on existing sewer, per foot: | |
| Zone 1 (valley area) | \$3.25 |
| Zone 2 (hill area) | \$4.50 |
| Land removed from existing sewer, per acre: | |
| Zone 1 (valley area) | \$55.00 |
| Zone 2 (hill area) | \$55.00 |
| Sewer Construction Assessments | Variable |
| Engineering Service | Direct Labor plus |
| | 55% Overhead |
| Maintenance Services | Direct Labor plus |
| | 65% Overhead |

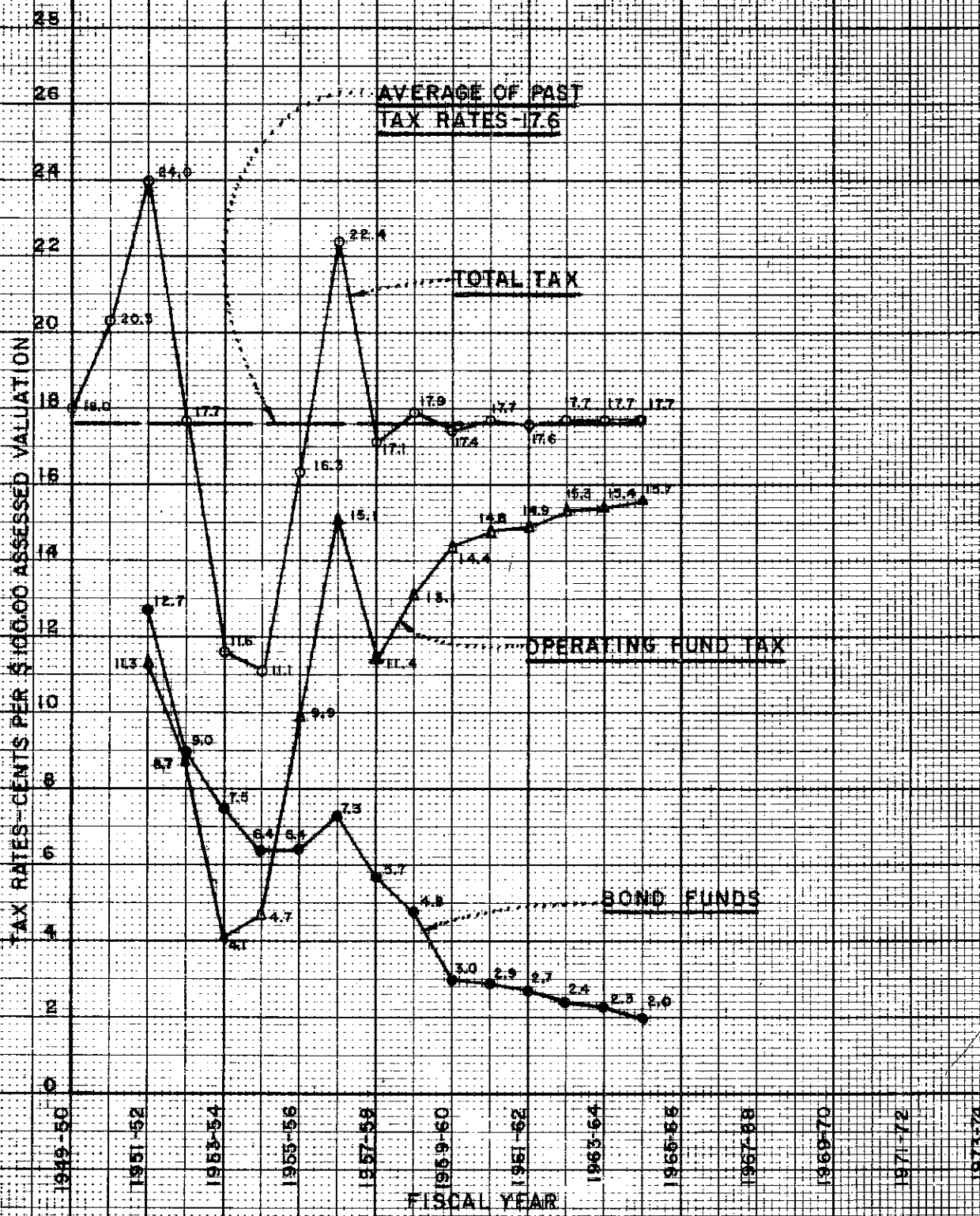


FIGURE 5

TAX RATES PER \$10,000 ASSESSED VALUATION
COUNTY SANITATION DISTRICT NO. 4 OF SANTA CLARA
COUNTY